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REVIEW ARTICLE

**Acoustic rhinometry in mouth breathing patients:  
a systematic review<sup>☆,☆☆</sup>**



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**KEYWORDS**

Acoustic rhinometry;  
Mouth breathing;  
Diagnosis;  
Nasal cavity

**Abstract**

**Introduction:** When there is a change in the physiological pattern of nasal breathing, mouth breathing may already be present. The diagnosis of mouth breathing is related to nasal patency. One way to access nasal patency is by acoustic rhinometry.

**Objective:** To systematically review the effectiveness of acoustic rhinometry for the diagnosis of patients with mouth breathing.

**Methods:** Electronic databases LILACS, MEDLINE via PubMed and Bireme, SciELO, Web of Science, Scopus, PsycInfo, CINAHL, and Science Direct, from August to December 2013, were consulted. 11,439 articles were found: 30 from LILACS, 54 from MEDLINE via Bireme, 5558 from MEDLINE via PubMed, 11 from SciELO, 2056 from Web of Science, 1734 from Scopus, 13 from PsycInfo, 1108 from CINAHL, and 875 from Science Direct. Of these, two articles were selected.

**Results:** The heterogeneity in the use of equipment and materials for the assessment of respiratory mode in these studies reveals that there is not yet consensus in the assessment and diagnosis of patients with mouth breathing.

**Conclusion:** According to the articles, acoustic rhinometry has been used for almost twenty years, but controlled studies attesting to the efficacy of measuring the geometry of nasal cavities for complementary diagnosis of respiratory mode are warranted.

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## PALAVRAS-CHAVE

Rinometria acústica;  
Respiração bucal;  
Diagnóstico;  
Cavidade nasal

## O uso da rinometria acústica em respiração oral: revisão sistemática

### Resumo

**Introdução:** Quando há alteração no padrão respiratório nasal fisiológico, a respiração oral de suplência já pode estar presente. O diagnóstico da respiração oral vincula-se à permeabilidade nasal. Uma das possibilidades para avaliação da permeabilidade nasal é a rinometria acústica.

**Objetivo:** Revisar, de forma sistemática, a eficácia da rinometria acústica no auxílio diagnóstico de pacientes com respiração oral.

**Método:** Foram consultadas as bases de dados eletrônicas LILACS, MEDLINE via Bireme e via PUBMED, SciELO, Web of Science, Scopus, PsycInfo, CINAHL e Science Direct, de agosto a dezembro de 2013. Foram encontrados 11.439 artigos, sendo 30 da LILACS, 54 da MEDLINE via Bireme, 5.558 da MEDLINE via Pubmed, 11 da Scielo, 2.056 da Web of Science, 1.734 da Scopus, 13 da PsycInfo, 1.108 da CINAHL e 875 Science Direct. Desses, foram selecionados dois artigos.

**Resultados:** A heterogeneidade no uso dos equipamentos e materiais utilizados para a avaliação do modo respiratório nesses estudos mostra que ainda não há um consenso na avaliação diagnóstico de indivíduos com respiração oral.

**Conclusão:** De acordo com os artigos, a rinometria acústica é utilizada há quase vinte anos, porém são necessários estudos controlados que atestem a eficácia da mensuração da geometria das cavidades nasais como auxílio diagnóstico do modo respiratório.

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## Introduction

Nasal breathing plays an important role in vital body functions, such as air filtration, heating and humidification of inspired air, a first defense barrier against inhaled allergens, and protection of the paranasal sinuses, ear cavities and lower airways; as its primary function, nasal breathing prepares the air for its optimal utilization in the lungs.<sup>1-3</sup> When a person is unable to use his/her nasal airways, changes in physiological nasal breathing pattern will occur and, thereafter, mouth breathing ensues.<sup>4</sup>

However, the literature exhibits conflict in defining what is considered normal breathing through the nose, as well as in objectively identifying nasal obstruction.<sup>5,6</sup> Moreover, although the diagnosis of mouth breathing is related to nasal obstruction, this situation is not always the case, especially when oral breathing mode has become chronic.

For decades, specific tests for evaluation of nasal permeability have been used in order to quantify the subjective symptom of nasal obstruction.<sup>5</sup>

The Altman graph mirror, for example, is one of the instruments used in clinical practice to assess nasal patency. Its metal plate has a smooth side, and the other side shows millimeter markings, allowing for a precise measurement of the area marked by the nasal exhalation,<sup>7</sup> comparing the condensation area between the nasal cavities.<sup>8</sup>

However, acoustic rhinometry is one of the newest methods for statically measuring different segments of the nasal cavity, from the nostrils to the choanae, quickly and non-invasively, and 'requiring little patient cooperation'.<sup>9,10</sup> The technique is based on the analysis of the nasal cavity's reflected sound from incident sound waves, taking into account the properties of this sound in relation to the intensity and arrival time of the echo.<sup>9</sup> Therefore, this technique

enables the measurement of the distances corresponding to the cross-sectional areas, usually of the nasal valve area and the front and rear areas of the conchae, and the calculation of nasal volumes, allowing the identification of the loci of constrictions that contribute to nasal resistance<sup>11,12</sup> thus providing topographical information on the individual profile of the nasal and nasopharyngeal airways.<sup>13</sup>

Its reproducibility and accuracy were confirmed by several authors,<sup>14-18</sup> the procedures are standardized and shown to be reliable,<sup>11,19-22</sup> and research on reference values in adults and children have also been reported.<sup>12,23-27</sup>

The technique is also used by several authors to estimate nasal airway obstruction in different etiologies, as well as the effect of distinct pathologies and surgical and orthopedic procedures on nasal and nasopharyngeal cavities in pediatric and adult populations.<sup>18,28-37</sup>

The clinical value of acoustic rhinometry rests in its ability to measure nasal geometry, thus being an important tool for the clinical rhinological follow-up,<sup>9,38,39</sup> allowing for the discrimination between mucosal functional effects and structural changes related to nasal obstruction, when used in tests before and after treatment with a vasoconstrictor.<sup>39</sup>

Although the test does not provide an etiological diagnosis of nasal obstruction, it quantifies the magnitude of the obstructive symptom at any given time, and is therefore considered a specific test in the investigation of nasal patency,<sup>5,21,40</sup> complementary to clinical examination.

Given the importance of nasal patency for the establishment of a physiological nasal breathing and the negative impact of nasal obstruction on stomatognathic system functions,<sup>41</sup> including speech/articulation, this article aims to systematically review the effectiveness of acoustic rhinometry as a complementary procedure to the diagnosis of mouth breathers.

**Table 1** Search strategies for database (MeSH and MeSH) survey.

Crossings in English	Crossings in Spanish	Crossings in Portuguese
"Acoustic rhinometry" and "mouth breathing"	"Rinometría acústica" and "Respiración por la boca"	"Rinometria acústica" and "Respiração bucal"
"Acoustic rhinometry" and "diagnosis"	"Rinometría acústica" and "Diagnóstico"	"Rinometria acústica" and "Diagnóstico"
"Diagnosis" and "mouth breathing"	"Diagnóstico" and "Respiración por la boca"	"Diagnóstico" and "Respiração bucal"
"Nasal cavity" and "mouth breathing"	"Cavidad nasal" and "Respiración por la boca"	"Cavidade nasal" and "Respiração bucal"
"Nasal cavity" and "diagnosis"	"Cavidad nasal" and "Diagnóstico"	"Cavidade nasal" and "Diagnóstico"
"Nasal cavity" and "acoustic rhinometry"	"Cavidad nasal" and "Rinometría acústica"	"Cavidade nasal" and "Rinometria acústica"

## Methods

A systematic literature review was performed from the following databases: LILACS via MEDLINE, MEDLINE via Bireme, MEDLINE via PubMed, SciELO, Web of Science, Scopus, PsycInfo, CINAHL, and Science Direct. The data search occurred from August to December 2013. To this investigation, descriptors and crossings used are shown in [Table 1](#).

The search was conducted by two researchers independently, following criteria of inclusion and exclusion. As inclusion criteria, original articles (whose manuscripts were published in any language) addressing the effectiveness of the instrument of acoustic rhinometry in the evaluation of oral breathing were selected.

Literature review articles and editorials were excluded, as well as those papers with no words referring to the subject discussed in this review, those that did not use the instrument of acoustic rhinometry as a complementary diagnostic, and studies on animals.

The methodological characteristics of articles were addressed according to inclusion criteria, statistical analysis and statistical comparison between selected groups ([Table 2](#)).

## Results

We can observe in the flow chart the number of items found and selected after applying our inclusion and exclusion criteria, according to descriptors and databases ([Fig. 1](#)).

To better present the results and to cover the proposed objectives, it was decided to consider the following variables of the selected articles: author/year, location, type of study, sample, equipment/methods used and results ([Table 3](#)).

## Discussion

In the twentieth XIX, specifically in 1977, Jackson et al. developed studies on the acoustic reflex technique with the aim to calculate cross-sectional areas of trachea, pharynx and supraglottic region.<sup>42</sup> Only in 1989, Hilberg et al.<sup>9</sup>

introduced the method of rhinometry as an acoustic reflection method, adapted to nasal cavities. Such a method can be defined as an objective assessment of nasal patency (or permeability), based on the reflection of a sound wave and offering information on the dimensions and geometry of nasal cavity.<sup>11,12,27,43</sup>

The use of this technique in the evaluation of mouth breathers has been maturing over the last twenty years. In this investigation, we found two articles that addressed the proposed theme and perceived heterogeneity between these papers, with respect to the authors and publication periods. In the manuscripts included in our study, the beginning of the use of acoustic reflection technique for breathing-mode evaluation and characterization was observed, following an interval of almost two decades to the applicability of this same technique. From the paper published in 1994 to the year 2013,<sup>44,45</sup> no studies depicting the use of acoustic rhinometry as a complementary procedure for the diagnosis of mouth breathing were published.

**Table 2** Methodological classification of selected articles.

Articles and criteria	Zavras et al. (1994)	Fensterseifer et al. (2013)
1. Specified inclusion criteria	Yes	Yes
2. Control group	Yes	Yes
3. Random allocation	No	No
4. Confidentiality in allocation	No	No
5. "Blind" subjects	No	No
6. "Blind" therapists	No	No
7. Statistical analysis	Yes	Yes
8. Statistical comparison between groups	Yes	Yes

**Table 3** Study variables.

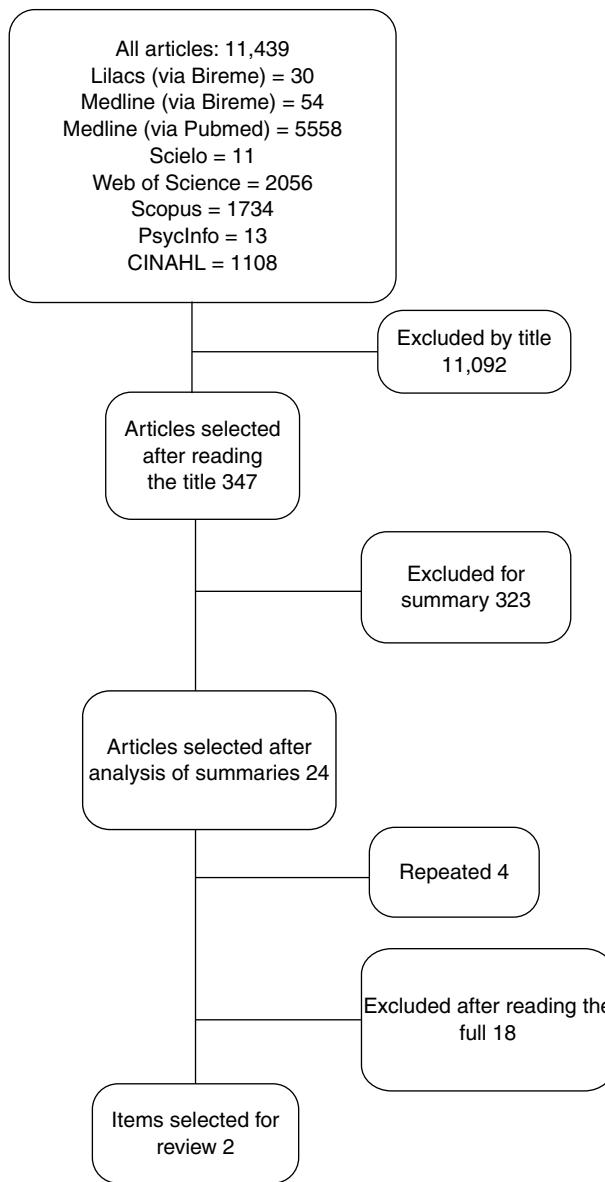
Author/year	Local	Study type	Sample	Equipment/methods used	Results
Zavras, White, Rich, Jackson/1994	Boston, USA	Quantitative descrip- tive analyti- cal study	20 children: 10 predominantly mouth breathers; 10 nose breathers.	Breathing mode noted by the pediatric dentist.	In relation to the total volume of both nostrils, it was found that the values of the group of nose breathers ( $17.7 \pm 4.9$ mL) were significantly higher than those of the group of mouth breathers ( $12.0 \pm 4.1$ mL).
Fensterseifer, Carpes, Weckx, Martha/2013	Porto Alegre, RS, Brazil	Case control study	Mean ages of the groups with oral and nasal breathing were 10.1 and 10.2 years, respectively.  48 children: 24 with nasal obstruction and learning difficulty. 24 children without learning difficulty, with and without nasal obstruction.  Ages between 8–12 years, with an average of 9.1 years.	Application of a questionnaire for the child's parents and/or guardians for obtaining information about medical/dental history and breathing mode.  Evaluation of nasal cavities with acoustic rhinometry equipment (EK Electronics, Aarhus, Denmark).  Evaluation of nasal breathing according to the "Protocol for the evaluation of children with learning disabilities."	No significant difference was observed between minimum cross-section areas.  In comparing the two groups, nasal obstruction ( $p = 0.14$ ) shows a trend to be higher in the group with learning difficulties.

The objective assessment of nasal permeability in patients with nasal obstruction has been developed with the use of the acoustic reflection method, through investigations in populations with allergic rhinitis, hypertrophy of palatine tonsils and recurrent respiratory infections.<sup>1,46–48</sup> Mouth breathing has been underlined in publications using acoustic rhinometry, as a consequence of nasal obstruction. Due to this fact, we found large gaps between the studies here evaluated.

With respect to the origin, the manuscripts included in this review are from North America (Boston, United States) and South America (Porto Alegre, Brazil).<sup>44,45</sup> However, the last US publication on the proposed issue occurred in 1994, showing that the use of acoustic rhinometry equipment in Brazil continues in its update process in a wide range of populations, including the assessment and diagnosis of oral breathing. In the included manuscripts, we

observe similarities regarding the type of study, in which they present specified inclusion criteria, presence of a control group, statistical analysis and statistical comparison between groups. These manuscripts are characterized as quantitative, descriptive analytical study,<sup>43</sup> and as case control study.<sup>45</sup>

The sampling procedure is a relevant aspect underlined in the manuscripts, and revealed a similarity in the small number of subjects in the first article (20; 10 in each group)<sup>44</sup> versus a slightly more than twice (48; 24 in each group) the number of subjects in the second article.<sup>45</sup> It is assumed that the small numbers of individuals presented in these studies may compromise the reproducibility of the findings for the general population. In the manuscripts, the participants' age is also similar: children between eight and 12 years with an approximate means of 9.1<sup>45</sup> and 10.1 years.<sup>44</sup> This is in line with other studies using the same technique



**Figure 1** Flow chart: number of articles found.

with pediatric populations, both for mean age and number of participants.<sup>31,48,49</sup>

In studies evaluating surgical procedures for nasal obstruction, acoustic rhinometry is used to quantify the success of these surgeries. Considering that this is a method available which provides the geometric measure of the nasal cavity by means of a reflected acoustic signal, its result is a wave called rhinogram, which has the typical form of a "W". Thus, this method provides some parameters, such as the minimum cross-sectional area (region of higher resistance to air flow) and volume at specific segments.<sup>31,49,50</sup>

Another important aspect is how the sample is divided. In both articles included in this review there is a division of two sample groups: a group of mouth breathers and another predominantly with nose breathers. The statistical comparison between groups<sup>44,45</sup> shows the homogeneity of these variables in our selected studies. This is consistent with studies using the same methodology to compare groups, with

predominance of publications studying allergic rhinitis populations and control groups.<sup>41,48</sup>

In both manuscripts included in this review, the method of acoustic rhinometry to evaluate oral breathing was used. Zavras et al.<sup>44</sup> used an EK Electronics (Aarhus, Denmark) acoustic rhinometer. This was an observational clinical evaluation of respiratory mode by a pediatric dentist, followed by a questionnaire given to relatives/carers. On the other hand, the Fenterseifer et al.<sup>45</sup> did not specify which rhinometric equipment was used; on the other hand, these authors performed ENT tests of oroscopy, anterior rhinoscopy and cavum X-ray, in addition to the clinical evaluation of respiratory mode through the Protocol for the Assessment of Children with Learning Disabilities.

Differences in the use of equipment and in materials for breathing mode evaluation between studies were observed, showing that there has not yet been consensus on the assessment and diagnosis of mouth breathers. Moreover, our analysis shows the limited number of publications demonstrating the use of a device that aims to measure the nasal cavity area – a very important resource as a complementary procedure for the diagnosis of patients' respiratory mode.

The articles in this review were statistically analyzed using the same statistical test for independent samples. In the study published in 1994,<sup>44</sup> a data analysis was carried out with the paired *t*-test for independent samples; with this test, in the analysis of the total volume of both nostrils, it was found that the values of group B – predominantly with nasal breathers ( $17.7 + 4.9$  mL) – were significantly higher than those obtained for Group A – with mouth breathers ( $12.0 + 4.1$  mL). On the other hand, the Spearman correlation test used for the analysis between nasal cavities showed significant correlations between minimum area of each nostril and the corresponding volume of the nostril being analyzed. However, the study published in 2013<sup>45</sup> reported that in the evaluation of nasal cavity volume by acoustic rhinometry, the results showed no consistent relationship in the comparison between the two groups studied with respect to the sum of the mean volume of nasal cavities ( $p = 0.75$ ). In general, the selected studies show that a decrease in nasal geometry occurs in mouth breathing children. We can infer that the absence of more significant statistic data could be related to the small number of subjects in these studies.

Despite not being directed to oral breathing, a study of 101 subjects demonstrated significant differences in nasal geometry, volume and minimal cross-sectional area between groups with different degrees (mild, moderate and severe) of nasal obstruction.<sup>47</sup> This finding shows that the study of a greater number of subjects results in better significant differences.

These results indicate the need for more detailed studies in the population of mouth breathers, with investments in objective and quantitative methods, as well as in standardized tests.

## Conclusion

In this review, the included studies attest to the use of acoustic rhinometry in the population of mouth breathers. Although there may be a theoretical consensus regarding geometry and volume decreases of nasal cavities in mouth

breathers, it has not yet been possible to demonstrate scientifically and clinically this decrease, compared to nose breathers. According to the articles investigated, this technique has already been applied for almost twenty years, but controlled studies attesting to the efficacy of measuring the area of nasal cavities for complementary diagnosis of respiratory mode are needed.

## Conflicts of interest

The authors declare no conflicts of interest.

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